

Governance and Audit Committee Action Record – July 2023

Number	Date of Committee	Item	Lead	Target Date	Action	Date for action to be brought to GAC	Completed Date
1.	1 Jun 23	Corporate Complaints - Schools	Corporate Director Education and Family Support	Jun 22	Members requested an update on how school complaints were recorded and ensuring they were recorded effectively. The Senior Democratic Services Officer – Committees emailed members with an update after consulting with the Corporate Director – Education and Family Support.	Jul 23	Jun 23 By email
2.	1 Jun 23	Audit Wales Governance and Audit Committee Report	Chief Officer – Finance, Performance and Change Group Manager – Chief Accountant	Jul 22	Members raised questions regarding the prevention and management of duplicate payments following an audit recommendation from the audit of the Statement of Accounts 2021-22 report. It was agreed that members would be sent a more detailed note regarding the process around duplicate payments.	Jul 23	Jul 23 By email
3.	13 Oct 2022/ 1 Jun 23	Corporate Complaints	Chief Officer – Legal and Regulatory Services, HR and Corporate Policy	Nov 23	To prepare and submit a report on Corporate Complaints to GAC bi-annually. The next report will be prepared for the meeting in November 2023. The report will include consideration of ways to enrich the data set, to include such matters as the location of the complainant, under-reporting, and complaints made to Councillors (and noted in the referral system).	Nov 23	
4.	26 Jan 23	Audit Wales Governance and Audit Committee reports	Corporate Director Social Services & Wellbeing		To receive a progress report on the implementation of the action plan in relation to the Audit Wales report 'Transformational Leadership Programme Board – Baseline Governance Review - Cwm Taf Morgannwg Regional Partnership Board' (outlined in Appendix C)	Jul 23	To be included in the regulatory tracker report
5.	26 Jan 23	Treasury Management Strategy 2023-24	Chief Officer – Finance, Performance and Change	Sep 23	To consider implementing an ethical investment policy following discussion with the treasury management advisors. An ethical investment policy will be considered by GAC in September 2023.	Sep 23	

Number	Date of Committee	Item	Lead	Target Date	Action	Date for action to be brought to GAC	Completed Date
6.	01 Jun 23	Audit Fees	Chief Officer – Finance, Performance and Change		Members requested an update on how much auditing services cost BCBC. The Chief Officer – Finance, Performance and Change sent an email summarising circulate a figure to Members for how much BCBC paid. in fees for auditing services now and with an additional 15% increase.	Jun 23	Jul 23 By email
7.	26 Jan 23	Governance And Audit Committee Self-Assessment of Knowledge & Skills	Head of Regional Internal Audit Service		Members receive regular training and briefings. Since January 2023, members have had the opportunity to be briefed on the Capital Programme, Treasury Management, and the Statement of Accounts.	Jun 23	
8.	1 Jun 23	Duplicate Payments – Recovery Process	Group Manager – Chief Accountant		Members requested more information on the recovery processes for duplicate payments. The process was summarised and sent to Members.	Jun 23	Jul 23 By email
9.	1 Jun 23	Membership of the Digital Transformation Board	The Chief Officer – Finance, Performance and Change	Jun 23	To circulate to Members the membership of the Digital Transformation Board.	Jul 23	Jul 23 By email
10.	1 Jun 23	Supporting Alternative Budget Proposals	The Chief Officer – Finance, Performance and Change	Jul 23	Members raised issues about how proposals for alternative budgets by different political groups are supported by officers. The Chief Officer – Finance, Performance and Change agreed to consult with the Chief Officer – Legal, Regulatory and Human Resources and Monitoring Officer on the constitutional implications. In response to the request, a paper is being prepared for a meeting of the Budget Research and Evaluation Panel (BREP).	Jun 23	Jul 23
11.	1 Jun 23	Annual Risk Based Audit Plan	Head of RIAS	Sep 23	To consider including additional information within the format of reports to GAC. Comments from GAC taken on board and will be considered collectively with all suggestions from GAC committees.	Jun 23	Jun 23
12.	1 Jun 23	Audit Recommendations and the Council's Escalation Process	The Chief Officer – Finance, Performance and Change and Head of RIAS	Sep 23	To consider inviting representatives of Abercerdin Primary School to GAC meeting. Abercerdin Primary School follow-up review by RIAS complete. The report is pending and will be reported in the Progress against the Plan report.	Sept 23	

Number	Date of Committee	Item	Lead	Target Date	Action	Date for action to be brought to GAC	Completed Date
13.	1 Jun 23	Capital Programme – Governance Issues	The Chief Officer – Finance, Performance and Change Head of RIAS	Jul 23	To draw up a project brief for RIAS to carry out an audit of governance issues around the Capital Programme.	To be determined	